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2001\$

PATENT

Case Docket No. APRILS.001A

Date: March 7, 2001

Page 1

In re application of : Engberg, et al.  
App. No. : 09/519,829  
Filed : March 6, 2000  
For : USE OF PERSONAL  
COMMUNICATION DEVICES  
FOR USER  
AUTHENTICATION  
Examiner : UNKNOWN  
Art Unit : 2777

I hereby certify that this correspondence and all  
marked attachments are being deposited with the  
United States Postal Service as first class mail in  
an envelope addressed to: Assistant Commissioner  
for Patents, Washington, D.C. 20231, on

March 7, 2001

(Date)

Alexander Franco, Reg. No. 45,753

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

Sir:

Transmitted herewith is a preliminary amendment in the above-identified application.

The fee has been calculated as shown below:

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## CLAIMS AS FILED

	CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NO. PREVIOUSLY PAID FOR	PRESENT EXTRA	RATE	ADDITIONAL FEE
Total Claims	26	—	27	= 0 ×	\$9	= \$ 0
Independent Claims	5	—	4	= 1 ×	\$40	= \$40.00
If application has been amended to contain multiple dependent claim(s), then add					\$135	= \$ 0
Time Extension Fee						\$ 0
TOTAL ADDITIONAL FEE FOR THIS AMENDMENT						\$40.00

Assignee is a small entity and such status is proper and desired.

Enclosed with the preliminary amendment are the following:

- (X) A check in the amount of \$40.00; and
- (X) A return prepaid postcard.

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Please charge any additional fees, including any fees for additional extension of time, or credit overpayment to Deposit Account No. 11-1410. A duplicate copy of this sheet is enclosed.



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Alexander Franco  
Registration No. 45,753  
Attorney of Record

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APRILS.001A



PATENT

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3/21/01  
Roy

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Engberg, et. al. ) Group Art Unit 2777  
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AUTHENTICATION )  
Examiner : UNKNOWN )

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PRELIMINARY AMENDMENT

Assistant Commissioner for Patents  
Washington, D.C. 20231

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Group 2100

Dear Sir:

Prior to examination on the merits, please amend the above-referenced application as indicated below.

In the Specification:

Please replace the paragraph beginning on page 1, line 7 of the Specification with the following rewritten paragraph:

a<sup>1</sup> This invention relates generally to the authentication of users of secure systems and, more particularly, the invention relates to a system through which user tokens required for user authentication are supplied through personal communication devices such as mobile telephones and pagers. h

Please replace the paragraph beginning on page 5, line 22 of the Specification with the following rewritten paragraph:

a<sup>2</sup> h Figure 1 illustrates an overview, including system components, of a user authentication system 100 according to a preferred embodiment of the present invention. Figure 2A illustrates a